On end extensions of models of open induction

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**Theorem 1 (MacDowell-Specker, 1961).** Every model of *PA* has a proper elementary end extension.

Aim. Miniaturize the MacDowell-Specker theorem

 $I\Sigma_n$ : induction for  $\Sigma_n$  formulas (plus base theory)

 $B\Sigma_n$ :  $I\Delta_0$  + collection for  $\Sigma_n$  formulas

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## Theorem 2 (Paris & Kirby 1978).

(a) For all  $n \in \mathbb{N}$ ,  $I \Sigma_{n+1} \Rightarrow B \Sigma_{n+1} \Rightarrow I \Sigma_n$ 

and the converse implications are false.

(b) For  $n \ge 2$ , if *M* is a countable model of  $B\Sigma_n$ , then *M* has a proper  $\Sigma_n$ -elementary end extension *K* satisfying  $I\Delta_0$ .

**Problem 1.** For  $n \ge 2$ , does every model of  $B\Sigma_n$  have a proper  $\Sigma_n$ -elementary end extension satisfying  $I\Delta_0$ ?

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**Problem 2.** (a) Does every model of  $I\Sigma_1$  have a proper  $\Sigma_1$ -elementary end extension satisfying  $I\Delta_0$ ? (b) Does every model of  $I\Delta_0$  have a proper  $\Delta_0$ -elementary end extension satisfying  $I\Delta_0$ ?

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**Fact 1.** If  $M \subset_e K$  (i.e., K is a proper end extension of M) and K satisfies  $I\Delta_0$ , then M is a  $\Delta_0$ -elementary substructure of K.

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**Problem 4.** Does every model of  $B\Sigma_1$  have a proper end extension satisfying  $I\Delta_0$ ? (Fundamental problem F in the list of open problems published by Clote & Krajiček in 1993)

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**Problem 4.** Does every model of  $B\Sigma_1$  have a proper end extension satisfying  $I\Delta_0$ ? (Fundamental problem F in the list of open problems published by Clote & Krajiček in 1993)

**Theorem 4 (Wilkie & Paris 1989).** If *M* is a countable model of  $B\Sigma_1 + exp$ , then there exists *K* such that  $M \subset_e K$  and *K* satisfies  $I\Delta_0$ . (*exp* expresses "exponentiation is total")

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**Theorem 5 (Hilbert-Bernays 1939 - ACT).** Let M be a model of PA and T be a theory definable in M. If M satisfies Con(T), then there exists a model K of T such that K is "strongly definable" in M.

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**Fact 2.** If  $M \subset_e K$  and K satisfies  $I\Delta_0$ , then M satisfies  $B\Sigma_1$ .

**Fact 3.**  $I\Delta_0 \not\Rightarrow B\Sigma_1$ . (recall Theorem 2(a))

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**Lemma 6.** If M, K satisfy PA and K is strongly definable in M, then M is isomorphic to an initial segment of K.

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C. Dimitracopoulos and V. Paschalis (2016 & 2020). Alternative proofs of Theorems 3 and 7, using variants of ACT. The main ideas for the proofs are:

- using induction **in the metalanguage**, construct a consistent theory *T* in an extension of *LA* (in the given model), via a lemma on the possibility of witnessing bounded existential quantifiers with appropriate constants and
- take as universe of the required extension an appropriate set of elements definable in a model of *T*.

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(b) Does every model of  $I\Delta_1 + exp$  have a proper end extension satisfying  $I\Delta_0$ ? ( $I\Delta_1$ : induction for provably  $\Delta_1$  formulas)

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**Theorem 9 (Slaman 2004).**  $B\Sigma_1 + exp \Leftrightarrow I\Delta_1 + exp$ . (Slaman proved ( $\Leftarrow$ ), while the converse had been known to hold, even without *exp*, by a result of R. Gandy)

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**Remark 2.** A positive solution to Problem 5(b), would (i) combined with Fact 2, imply ( $\Leftarrow$ ) of Theorem 9 (ii) give a positive solution to Problem 5(a), thus generalizing Theorem 4 (Wilkie & Paris 1989).