
Existence and Stability of Discrete Breathers in a Triangular Lattice

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Motivation

- We want to examine the possibility of existence of time non reversible breather-solutions in a network of time-reversible oscillators.
- In addition we want to determine the linear stability of these solutions

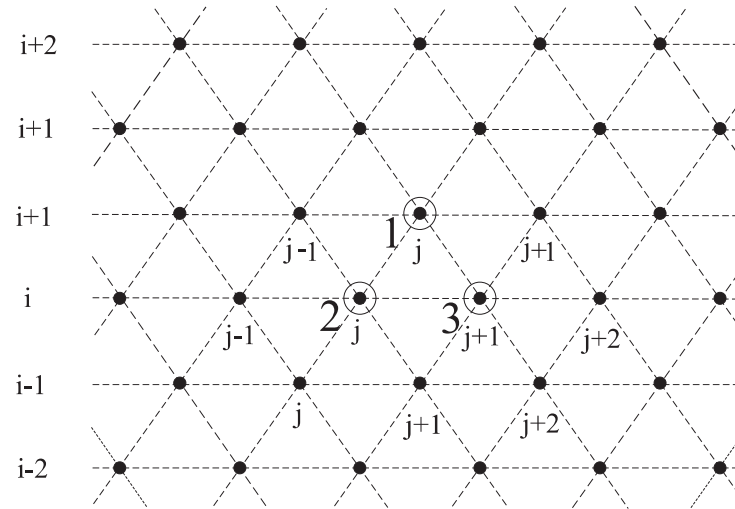
By the term time-reversible solution we mean that there exist initial conditions of the specific solution that satisfy

$$x_i(-t) = x_i(t) \quad \text{and} \quad p_i(-t) = -p_i(t) \quad \forall \quad i = 1 \dots n$$

where n is the total number of degrees of freedom.

The System

The setting under consideration was proposed by MacKay & Aubry in 1994.



With on-site potential and nearest neighbor interaction.

$$H = H_0 + \varepsilon H_1 = \sum_{i,j=-\infty}^{\infty} \frac{p_{ij}^2}{2} + V_{ij}(x_{ij}) + \frac{\varepsilon}{2} \sum_{i,j=-\infty}^{\infty} \left\{ (x_{ij} - x_{i-1,j})^2 + (x_{ij} - x_{i-1,j+1})^2 + \right. \\ \left. + (x_{ij} - x_{i,j-1})^2 + (x_{ij} - x_{i,j+1})^2 + (x_{ij} - x_{i+1,j-1})^2 + (x_{ij} - x_{i+1,j})^2 \right\}$$

The anticontinuous limit

In the uncoupled limit $\varepsilon = 0$ we consider the 3 encircled oscillators which we call “central” moving in identical T -periodic orbits with arbitrary phases, while the rest lie on the stable equilibrium.

Consider the action-angle variables, where the action is defined by

$$I_i = \oint_{\gamma_i} p_j dq_j$$

and w_i is the corresponding angle variable. The motion of the central oscillators is the described in these variables by

$$I_i = \text{const.}$$

$$w_i = \omega_i t + w_{i_0} \mod 2\pi$$

This state describes a trivially localised and T -periodic motion for the full system which is denoted by z_0 .

Since every periodic orbit is defined modulo a phase shift it is more consistent to work with the variables

$$\begin{aligned}\vartheta &= w_3 & A &= I_1 + I_2 + I_3 \\ \phi_1 &= w_1 - w_3 & J_1 &= I_1 \\ \phi_2 &= w_2 - w_3 & J_2 &= I_2\end{aligned}$$

It is proven that the critical points of

$$H^{\text{eff}}(J_1, J_2, A, \phi_1, \phi_2) = \frac{1}{T} \oint H \circ z(t) \, dt,$$

are in one-on-one correspondence to breather solutions. Where z is the periodic orbit which is continued by the previously described unperturbed one, with respect to constant A .

[1]. *T. Ahn, R. S. MacKay and J-A. Sepulchre, Nonlinear Dynamics* **25** (2001),

We cannot compute z , but, in the lowest order of approximation we can take z as the unperturbed one z_0 and get to first order with respect to ε

$$H^{\text{eff}} = H_0(J_1, J_2, A) + \varepsilon \langle H_1 \rangle_{\vartheta}(J_1, J_2, A, \phi_1, \phi_2),$$

where

$$\langle H_1 \rangle_{\vartheta} = \frac{1}{2\pi} \oint H_1 \circ z_0 \, d\vartheta = \frac{1}{T} \oint H_1 \circ z_0 \, dt = \langle H_1 \rangle_t.$$

So the condition of existence of breathers becomes, under non-degeneracy conditions

$$\frac{\partial \langle H_1 \rangle}{\partial \phi_i} = 0, \quad \det \left(\frac{\partial^2 \langle H_1 \rangle}{\partial \phi_i \partial \phi_j} \right) \neq 0$$

which coincides with the results of

[2]. V. Koukouloyannis and S. Ichtiaroglou, *Phys. Rev. E* **66** (2002), 066602.

A general triangular lattice

We consider a generic time-reversible oscillator by

$$x(t) = \sum_{n=0}^{\infty} A_n(I) \cos nw = \sum_{n=0}^{\infty} A_n(I) \cos n(\omega t + \vartheta).$$

Since $H_1 = x_1^2 + x_2^2 + x_3^2 - x_1x_2 - x_1x_3 - x_2x_3$

$$\langle H_1 \rangle = C(J) - \frac{1}{2} \left\{ \sum_{n=1}^{\infty} A_{1n} A_{3n} \cos n\phi_1 + A_{2n} A_{3n} \cos n\phi_2 + A_{1n} A_{2n} \cos n\phi_3 \right\}$$

$$\frac{\partial \langle H_1 \rangle}{\partial \phi_1} = \frac{1}{2} \sum_{n=1}^{\infty} n A_n^2 (\sin n\phi_1 - \sin n\phi_3) = 0$$

$$\frac{\partial \langle H_1 \rangle}{\partial \phi_2} = \frac{1}{2} \sum_{n=1}^{\infty} n A_n^2 (\sin n\phi_2 + \sin n\phi_3) = 0.$$

Considering $\phi_3 = \phi_2 - \phi_1$, this is satisfied $\forall A_n$ if $\forall n \in \mathbb{N}$,

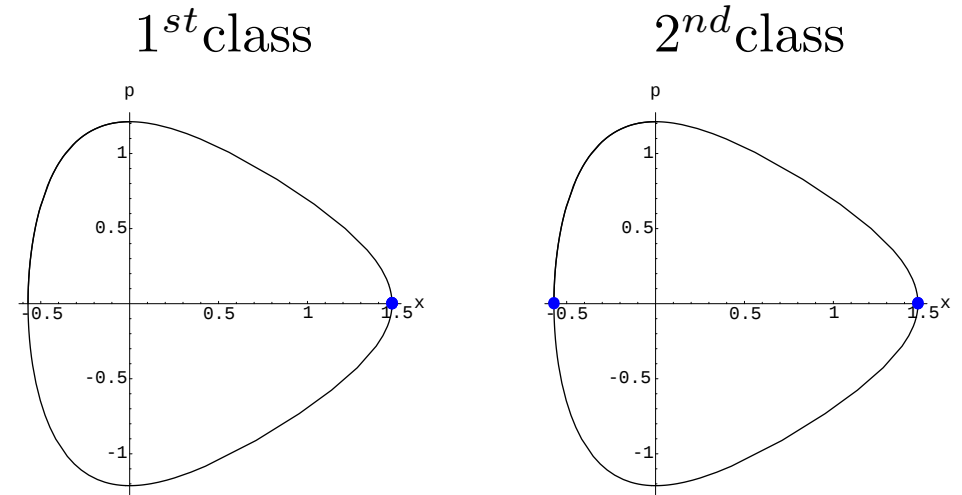
$$\sin(n\phi_1) - \sin[n(\phi_2 - \phi_1)] = 0$$

$$\sin(n\phi_2) + \sin[n(\phi_2 - \phi_1)] = 0.$$

A general triangular lattice

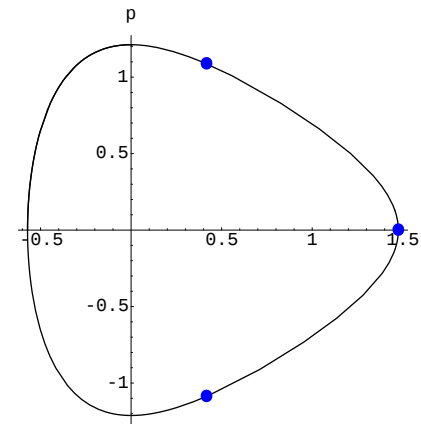
This system has at least the solutions

$$\left. \begin{array}{ll} \phi_1 = 0 & \phi_2 = 0 \\ \phi_1 = 0 & \phi_2 = \pi \\ \phi_1 = \pi & \phi_2 = 0 \\ \phi_1 = \pi & \phi_2 = \pi \end{array} \right\} \begin{array}{l} 1^{st} \text{ class} \\ 2^{nd} \text{ class} \end{array}$$



which correspond to time-reversible breathers, and the solutions

$$\begin{array}{ll} \phi_1 = \frac{2\pi}{3} & \phi_2 = \frac{4\pi}{3} \\ \phi_1 = \frac{2\pi}{3} & \phi_2 = \frac{2\pi}{3} \end{array}$$



which correspond to time non-reversible breather solutions.

Linear Stability

In [1]. it is also proven that the linear stability of the breather depends on the stability of the corresponding critical point of H^{eff} . So, we need the eigenvalues of the stability matrix

$$E = -\Omega D^2 H^{\text{eff}}$$

to lie in the imaginary axis for linear stability. By setting $H^{\text{eff}} = H_0 + \varepsilon \langle H_1 \rangle$ this matrix becomes

$$E = \begin{pmatrix} -\varepsilon g_3 & -\varepsilon(g_1 + g_2) & -\varepsilon(f_1 + f_2) & \varepsilon f_3 \\ -\varepsilon(g_2 - g_3) & \varepsilon g_3 & \varepsilon f_3 & -\varepsilon(f_2 + f_3) \\ 2c + \varepsilon \frac{\partial^2 \langle H_1 \rangle}{\partial J_1^2} & c + \varepsilon \frac{\partial^2 \langle H_1 \rangle}{\partial J_1 \partial J_2} & \varepsilon g_3 & \varepsilon(g_2 - g_3) \\ c + \varepsilon \frac{\partial^2 \langle H_1 \rangle}{\partial J_1 \partial J_2} & 2c + \varepsilon \frac{\partial^2 \langle H_1 \rangle}{\partial J_2^2} & \varepsilon(g_1 + g_3) & \varepsilon g_3 \end{pmatrix}$$

$$f_i = \frac{1}{2} \sum_{n=1}^{\infty} n^2 A_n^2 \cos n\phi_i, \quad g_i = \frac{1}{2} \sum_{n=1}^{\infty} n A_n \frac{\partial A_n}{\partial I} \sin n\phi_i, \quad c = \frac{d\omega}{dJ}$$

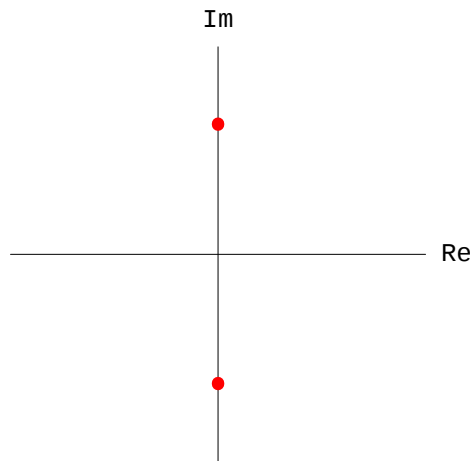
Linear stability of the first class of time-reversible solutions

The eigenvalues of the first class of the time-reversible solutions are

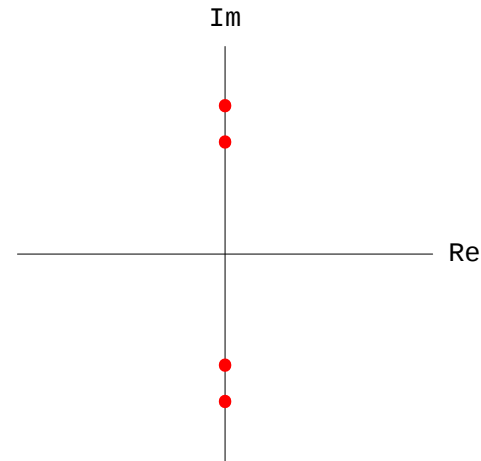
$$\lambda_{1,2,3,4} = \pm \sqrt{-3cf(0)}\sqrt{\varepsilon} + O(\varepsilon).$$

Since, $f(0) = \frac{1}{2} \sum_{n=1}^{\infty} n^2 A_n^2 > 0$,

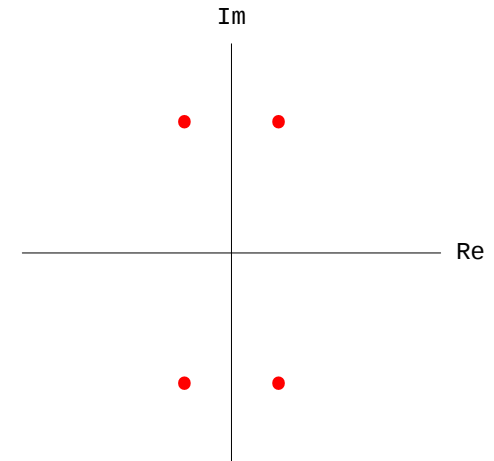
if $\varepsilon c > 0$, the leading order term implies linear stability. But it is of multiplicity 2 which could lead to instability due to higher order terms.



Multiple eigenvalue



Linearly stable scenario



Unstable scenario

Linear stability of the first class of time-reversible solutions

The solution to this problem is the symplectic signature theory for fixed points of Hamiltonian systems, which states that if the quadratic form which corresponds to

$$D^2 H^{\text{eff}}$$

is definite, then the eigenvalues cannot leave the imaginary axis for any small perturbation. The quadratic form for this particular case is

$$\delta^2 H = \frac{3}{2}c(J_1 + J_2)^2 + \frac{1}{2}c(J_1 - J_2)^2 + \frac{1}{2}\varepsilon f(\phi_1 + \phi_2)^2 + \frac{3}{2}\varepsilon f(\phi_1 - \phi_2)^2$$

so it is definite if $\varepsilon c > 0$ and the breather is linearly stable.

Linear stability of the second class of time-reversible breather solutions

For the second class of reversible solutions we have

$$\lambda_{1,2} = \pm \sqrt{-c(2f(0) + f(\pi))} \sqrt{\varepsilon} + O(\varepsilon), \quad \lambda_{3,4} = \pm \sqrt{-3cf(\pi)} \sqrt{\varepsilon} + O(\varepsilon)$$

and since

$$2f(0) + f(\pi) > 0$$

for this solution to be stable we need $f(\pi) \& \varepsilon c > 0$.

Since, in general in this case, the multiplicity of these eigenvalues is 1 there is no need for symplectic signature analysis.

Linear stability of time non-reversible case

The eigenvalues which correspond to the time non-reversible case are

$$\lambda_{1,2,3,4} = \pm i \sqrt{3cf(2\pi/3)} \sqrt{\varepsilon} + O(\varepsilon)$$

with corresponding quadratic form

$$\begin{aligned} \delta^2 H = & 4c \left(2J_1 + J_2 + \frac{2\varepsilon g}{c^2} (\phi_1 - \phi_2) \right)^2 + \frac{3c}{2} \left(J_2 + \frac{\varepsilon g \phi_1}{c^2} \right)^2 \\ & + \frac{\varepsilon}{2} \left(f - \frac{\varepsilon g^2}{c} \right) (\phi_1 + \phi_2)^2 + \frac{3\varepsilon}{2} \left(f - \frac{\varepsilon g^2}{c} \right) (\phi_1 - \phi_2)^2 \end{aligned}$$

which is definite if $\varepsilon f c > 0$

A more specific example

A lattice of coupled Morse oscillators

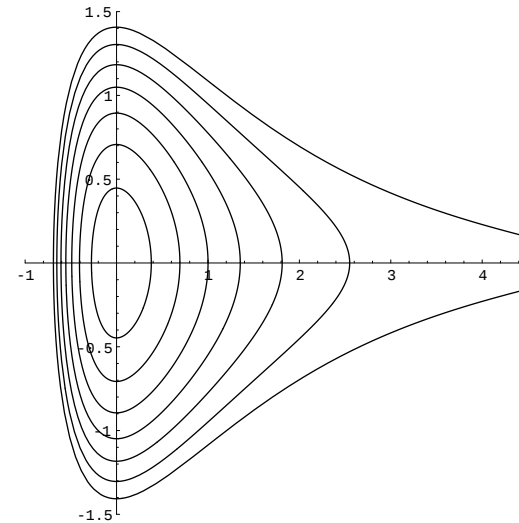
The Hamiltonian of the Morse oscillator is

$$H = \frac{p^2}{2} + (e^{-x} - 1)^2$$

while the averaged part of the perturbative term of the Hamiltonian of the full system is

$$\langle H_1 \rangle = 2 \sum_{i=1}^3 \int \arctan \frac{\sin \phi_i}{z - \cos \phi_i} d\phi_i + C(J)$$

with $z = e^{2a}$ and $\cosh a = E^{-\frac{1}{2}}$.



Linear stability in the Morse oscillators lattice

The eigenvalues for the first class of time-reversible solutions are

$$\lambda_{1,2,3,4} = \pm \sqrt{\frac{6}{z-1}} \sqrt{\varepsilon} + O(\varepsilon)$$

while for the second class they are

$$\lambda_{1,2} = \pm \sqrt{2 \frac{z+3}{z^2-1}} \sqrt{\varepsilon} + O(\varepsilon), \quad \lambda_{3,4} = \pm i \sqrt{\frac{6}{1+z}} \sqrt{\varepsilon} + O(\varepsilon).$$

This means that the time-reversible solutions are unstable.

On the other hand, the eigenvalues for the time non-reversible case are

$$\lambda_{1,2,3,4} = \pm i \sqrt{3 \frac{2+z}{1+z+z^2}} \sqrt{\varepsilon} + O(\varepsilon),$$

which means, that these solutions are linearly stable.

Conclusions

- We proved the existence of time-reversible as well as non-reversible discrete breathers in a network of time-reversible oscillators.
 - We provide a systematic way of acquiring the linear stability of these breather-solutions.
 - We applied these results in a triangular lattice of coupled Morse oscillators and realize that in this specific case the linearly stable breathers are the non-reversible ones.
 - These results can be immediately generalised since we did not make use of any special symmetry property of the specific lattice.
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